



CALIFORNIA HIGH SPEED RAIL AUTHORITY CONFLICT-OF-INTEREST CODE

The Political Reform Act (Government Code Section 81000, et seq.), requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations, Section 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations, Section 18730 and any amendments duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the **California High Speed Rail Authority (Authority)**.

California High-Speed Rail Authority Board Members and Alternates and designated positions shall file their Statements of Economic Interests with the **Authority**, which will make the statements available for public inspection and reproduction. (Government Code Section 81008.) Upon receipt of the statements of the California High-Speed Rail Authority Board Members and Alternates and the Executive Director/Chief Executive Officer, the **Authority** shall make and retain a copy and forward the original statements to the Fair Political Practices Commission.

Appendix A Designated Positions

DESIGNATED POSITION	ASSIGNED DISCLOSURE CATEGORY
<u>California High-Speed Rail Authority Peer Review Groups</u>	
Operations and Maintenance Peer Review Group	3
Ridership Peer Review Panel	3
Seismic Design Peer Review Group	3
<u>California High-Speed Rail Authority Staff</u>	
Executive	
Chief Executive Officer	3
Chief Deputy Director	3
Risk Manager	3
Legal	
Chief Counsel	3
Assistant Chief Counsel	3
Staff Counsel/Attorney	3
Administrative Services	
Chief Administrative Officer	3
Staff Services Manager I (Personnel)	1
Data Processing Manager II (IT)	1
Senior Information Systems Analyst (Specialist)	1
Systems Software Specialist II Supervisory	1
Audit	
Senior Management Auditor	3
Fiscal Operations	
Chief Financial Officer	3
Staff Services Manager I (Fiscal Officer)	3
Staff Services Manager I (Procurements/Contracts)	3
Staff Services Manager I (Grants Administration)	4
External Affairs	
Chief of External Affairs	3
Northern Regional Director	3
Central Valley Regional Director	3
Southern Regional Director	3
Deputy Director of Communications	3
Assistant Deputy Director of Communications	3
Deputy Director of Legislation	3
Legislative Analyst	3
Program Management	
Chief Program Manager	3

Appendix A Designated Positions

Assistant Chief Program Manager	3
Director of Environmental Planning	3
Senior Environmental Planner	3
Director of Planning	3
Senior Transportation Planner	3
Director of Engineering/Program	3
Director of Real Property	3
Supervisor, Right of Way	3
Senior Right of Way Agent	3
Associate Right of Way Agent	3
Project Delivery Contracts Director	3
Associate Transportation Engineer	3
Transportation Engineer	3
Senior Transportation Engineer	3
Supervisor Transportation Engineer	3
<u>Program Management Team (PMT)</u>	
Program Director	3
Chief of Staff	3
Administration Manager	3
Operations Manager	3
Grants Manager	3, 4
Project Controls Manager	3
Contracts Manager	3
Deputy Commercial Manager	3
Third Party Contract Manager	3
Railroad Agreements Coordinator	3
Small Business Program Manager	3
Implementation and Integration Director	3
Business Planning Director	3
Regional Deputy Director – North	3
Regional Deputy Director – Central	3
Regional Deputy Director – South	3
Test Track & Commissioning Manager	3
Planning Director	3
Transportation Planning Director	3
Rail Road Operations Director	3
Business Planning Director	3
Network Integration Manager	3
Program Development Director	3
Environmental Manager	3
Engineering Manager	3
PCM Manager	3
PCM Oversight Manager	3
Right of Way Director	3
Right of Way Program Manager	3

Appendix A Designated Positions

ROW and Utilities Director	3
Systems Assurance Director	3
Risk Management Manager	3
Safety Manager	3
Program Quality Manager	3
Agency Planning & Coordination Director	3
Regional Managers (PMT)	
San Francisco to San Jose	3
San Jose to Merced	3
Merced to Sacramento	3
Altamont	3
Merced to Fresno	3
Fresno to Bakersfield	3
Bakersfield to Palmdale	3
Palmdale to Los Angeles	3
Los Angeles to Anaheim	3
Los Angeles to San Diego	3
Regional Consultants - Program Managers	
San Francisco to San Jose	3
San Jose to Merced	3
Merced to Sacramento/Altamont	3
Merced to Fresno	3
Fresno to Bakersfield	3
Bakersfield to Palmdale	3
Palmdale to Los Angeles	3
Los Angeles to Anaheim	3
Los Angeles to San Diego	3
<u>Financial Advisors (Consultant)</u>	
Partner-in-Charge	3
<u>Outside Legal Counsel</u>	
Attorney	3
<u>Project and Construction Management (Consultant)</u>	
Principal in Charge	3
Design-Build Oversight Manager	3
Quality Oversight Manager	3
Construction Oversight Manager	3
Engineering Oversight Manager	3
Verification, Validation, and Self -Certification Oversight Manager	3
Project Controls Oversight Manager	3
Environmental Oversight Manager	3
Safety and Security Oversight Manager	3

**Appendix A
Designated Positions**

Contract Manager

3

PROPOSED

Appendix A Designated Positions

Design/Build Management (Consultant)

Officer-in-Charge	3
Design Manager	3
Construction Manager	3
Quality Manager	3
Verification and Validation Manager	3
Environmental Compliance Manager	3
Safety Manager	3
SB/DVBE/DBE/MB Manager	3
Warranty Manager	3

Other

Consultants/New Positions	*
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*Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation: The Chief Executive Officer may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus, is not required to fully comply with the disclosure requirements described in this section.

Such determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code (Gov. Code Sec. 81008.)

The following individuals are required to file under Government Code section 87200 and are NOT covered by this Code. They are listed for informational purposes only.

Authority Board Members and Alternates

Appendix B Disclosure Categories

Category 1

All investments, and business positions in business entities and income (including receipt of gifts, loans, and travel payments) from sources of the type that provide supplies, services, material, machinery, or equipment utilized by the designated position's division or department.

Category 2

All investments, and business positions in business entities and income (including receipt of gifts, loans, and travel payments) from sources that have filed a claim or lawsuit against the Authority within the last two years or have a claim pending against the Authority.

Category 3

All investments, business position in business entities and income (which includes receipt of gift loans, and travel payments) from sources of the type that engage in land development, acquisition or sale of real property, engineering, construction, environmental planning, energy research or development, transportation services; provide supplies, materials, machinery, or equipment utilized by the Authority or projects funded by the Authority; provide planning, consulting, engineering, design, legal, auditing, accounting, insurance services of the kind utilized by the Authority; public utilities; and environmental organizations such as non-profit environmental protection organizations.

All real property, and all investments, business positions, and income received from sources, located within a half-mile from the boundary lines of a high-speed rail station or the proposed location of a high-speed rail station, a half-mile from the edge of the right-of-way for a designated or proposed high-speed rail route, or five miles from the city limits of any city in which a high-speed rail station may be built pending a final determination of the Authority.

Category 4

All investments, and business positions in business entities and income (including receipt of gifts, loans, and travel payments) from entities of the type to receive grants from or through the Authority.